# Package 'capiu'

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Title Clustering with A-priori Information using Unsupervised decision trees

Depends R, ellipse, Biobase, mclust, MASS, GO, hu6800, cluster, e1071

**Description** Main function treeGen divides an expression matrix into different feature categories depending on (possibly) functional context and by evaluating each of these categories computes a sample wise clustering in tree like fashion.

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**Collate** generic.R classes.R batchPca.R GOhelpers.R bootstrap.R nodeGen.R treeGen.R scoreModels.R pca.R utils.R cluster.R

SaveImage no

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```
batchPca
```

#### Description

Provided a matrix with variables as rows, samples as columns and a map that defines which variables belong to a common class, batchPca can be used for computing a PCA for each of these classes. pca is used for the PCA analysis.

# Usage

batchPca(object, map, verbose=TRUE,...)

#### Arguments

object	Either a matrix or an exprSet
map	A list corresponding to the different subsets of indices
verbose	If TRUE, messages are printed at start and end of calculation
	Further arguments to pca

# Value

A batchPcaRes object

#### Author(s)

Henning Redestig

## See Also

pca, prcomp, princomp

capiu

Clustering using A Priori Information via Unsupervised decision trees.

# Description

A method for generating unsupervised decision trees for e.g. gene expression or similar data that provide variables which can be grouped in *classes* for separate analysis.

# Usage

capiu(x, map, labels=NULL,...)

#### capiu

#### Arguments

Х	A numerical matrix or exprSet with rows as variables and samples as columns.
map	A list that specifies which class each variable belongs to.
labels	Optional labels for the samples to be used in visualizations.
	Further arguments to batchPca, scoreModels, nodeGen

# Details

Consider a gene expression data matrix with expected subgroups in the samples and a mapping that defines classes of genes that alone can be assumed to provide more information about some biological process (or similar) than all genes do together. Then PCA models can be built for each of the gene classes alone and these models can then be examined to see if they provide a 'natural' partitioning of the samples such as 'treated' versus 'non-treated', the label classes that do so can then be hypothesised to be related to the biological reasons for the groupings. By then splitting the data using only this class and the re-partition the data in each node, the subgroups of samples can be (hopefully) be recovered in the leafs of the obtained tree.

capiu is a wrap-up function that combines batchPca, scoreModels and nodeGen to one interface for computing unsupervised decision trees. The result can be visualised using dot and toDot.

Unfortunately, capiu is a very slow function as it is 100% R and relies on a slow bootstrapping test. Setting K to a low value, correction to "none" and increasing minClustersize obviously makes it quicker but then no real checking for significance is done.

The extra parameters to give as . . . are typically:

For batchPca: If the PCA preprocessing step of each gene class should scale the data or not by specifying scale. How many PC's that should be extracted by eg specifying varLimit.

For scoreModels: How the gene classes should be scored by specifying scoretype

For nodeGen: How small the smallest cluster is expected to be be specifying minClusterSize, how similar to clusterings must be to be considered the same by specifying minSimilarity, how many bootstrap replicates should be calculated for the the significance test by specifying K

## Value

A udt object.

#### Author(s)

Henning Redestig

# See Also

nodeGen, batchPca, scoreModels

```
data(golub)
golubMap <- makeMap("hu6800", geneNames(golub), upperLimit=200)
#Warning, this takes a long time to execute!
## Not run:
golubTree <- capiu(golub,</pre>
```

```
golubMap,
varLimit=0.3,
K=2000,
labels=paste(golub[["ALL.AML"]], golub[["T.B.cell"]]))
## End(Not run)
#You need dot installed to compile script generated by toDot
## Not run:
toDot(golubTree, "golubTree.dot")
## End(Not run)
```

Batched PCA Class for representing a batched PCA result

#### Description

This is a class representation of a batched PCA result

#### **Creating Objects**

new("pcaRes", pcaModels=[list of pca models])

## Slots

pcaModels "list", list of pca models, each element is of class pcaRes.

## Methods

**print** Print simple information about the batch result, how many there are and information about the first model.

summary Print summary information about batch result

- "[" Subsetting extracts one or a set of pca models from the batch. Result is either a new batchP-caRes or a pcaRes if single element.
- length Amount of elements in this batch

slplot Plot a scores and loadings plot for this object, see slplot

modelScores Class for representing score object from a batchPcaRes

## Description

This is a class representation of scores from a batched PCA result

#### **Creating Objects**

```
new("modelScores", scores=[the scores for each model], clusterings=[the
clusterings computed based on each model alone], sizes=[the sizes of
the gene classes used], certainty=[how certain the classification of
each sample was], scoretype=[the scoretype used])
```

node

#### Slots

scores "numeric", vector with one score for each gene class

- **clusterings** "matrix", each column in this matrix contains the clustering vector from the corresponding gene class.
- sizes "numeric", vector showing the sizes of the different gene classes (amount of genes in them)
- **certainty** "matrix", each column in this matrix contains the certainty levels for the clustering of each sample. 1 is completely sure (default for e.g. K-means)

scortype "character", the scoretype used to calculate this model score

# Methods

names Extract the names of the gene classes used

"[" Subsetting extracts one or a set of scores from the batch.

node

Class for representing a node in a CAPIU tree

#### Description

This is a class representation of a node in a CAPIU tree

#### **Creating Objects**

```
new("node", ranks=[the ranks of the gene classes used], classes=[identifiers
for the gene classes used], clustering=[the consensus clustering],
children=[amount of children to this node], scores=[the scores of the
used gene classes], sizes=[the sizes of the used gene classes], members=[the
samples belonging to this node], type=[what kind of node this is])
```

#### Slots

**ranks** "numeric", the ranks of the gene classes in this node. Rank one means the gene class got the highest score of all

classes "character", identifiers of the gene classes in this node

clustering "numeric", the consensus clustering vector calculated from all gene classes in this node

children "numeric", the amount of children this node has. Zero if the node is a leaf

scores "numeric", the scores of the gene classes in this node

sizes "numeric", the sizes of the gene classes in this node

**members** "list", of length one if node otherwise same length as amount of children indicating which samples goes where in the tree

type "character", Either "node" or "leaf"

pcaRes

#### Description

This is a class representation of a PCA result

#### **Creating Objects**

```
new("pcaRes", scores=[the scores], loadings=[the loadings], k=[amount
of PCs], R2cum=[cumulative R2], nobs=[amount of observations], nvar=[amount
of variables], R2=[R2 for each individual PC], sdev=[stdev for each
individual PC], scaled=[which method was used to scale data], centered=[was
data centered], varLimit=[what variance limit was exceeded], method=[method
used to calculate PCA], subset=[subset of variables of data used],
missing=[amount of NAs], center=[original means])
```

## Slots

scores "matrix", the calculated scores

loadings "matrix", the calculated loadings

**R2cum** "numeric", the cumulative R2 values

sdev "numeric", the individual standard deviations

R2 "numeric", the individual R2 values

nobs "numeric", amount of observations

nvar "numeric", amount of variables

centered "logical", data was centered or not

center "numeric", the original variable centers

subset "numeric", the subset of variables used

varLimit "numeric", the exceeded variance limit

scaled "characted", the scaled method used

k "numeric", the amount of calculated PCs

method "character", the method used to perform PCA

missing "numeric", the total amount of missing values in original data

# Methods

print Print function

summary Extract information about PC relevance

screeplot Plot a barplot of standard deviations for PCs

slplot Make a side by side score and loadings plot

#### Description

This is a class representation of a CAPIU tree. It used a perhaps bit weird way of representing a graph where the first node points to the next 'children' nodes. The next node points to its children calculated with an offset depending on the amount of children the previous node had and so on.

#### **Creating Objects**

```
new("node", nodes=[list of node], labels=[optional labels of the samples
in the dataset])
```

# Slots

nodes "list", each element is of class "node", together they make up a tree

**labels** "character", optional labels for each sample used to generate the tree. Should be identifiable by their names.

#### Methods

getSizes(object, i) Get sizes for node i

getScores(object, i) Get scores for node i

getGeneClasses(object, i) Get gene classes for node i

getRanks(object, i) Get ranks for node i

getChildren(object, i) Get amount of children for node i

getMembers(object, i, j=NULL, translate=TRUE) Get members for node i, branch j and possibly translate the using the labels slot

getType(object, i) Get type of node i

addNodes(object, node) Add node to object to get a larger tree

length(x) Get length of this tree

clusterGeneClass Cluster the samples using information from a gene class.

#### Description

This function provides functionality for clustering the samples microarray experiment using information from one gene class only. The gene class should be pre-processed with PCA.

# Usage

```
clusterGeneClass(object, clNum=2, scoretype=c("mclust", "weightedsilhouette", "s
```

# udt

# Arguments

object	A matrix containing raw gene expression measurements or a pcaRes object.
clNum	The amount of clusters to search for. More than two is experimental.
scoretype	The scoretype to use:
	<b>mclust</b> Use mixture model clustering to fit Gaussian mixture model. Score is the log-likelihood ratio between uni-modal and clNum-model clusterings
	<b>silhouette</b> Clustering is done by PAM from the cluster package and the score is the average silhouette width from the clusters.
	<b>weightedsilhouette</b> Same as silhouette but final score is weighted by the entropy of the cluster sizes to give preference for similar sized clusters
	Pass through arguments

# Value

A list with clustering, certainty, score, clNum and size of the geneclass

## Author(s)

Henning Redestig

#### See Also

pca

# Examples

```
data(golubMergeSub)
myRandomMapping <- sapply(1:10, function(x) sample(1:1000, sample(5:200)))
models <- batchPca(golubMergeSub, myRandomMapping)
score <- clusterGeneClass(models[1], 2, "mclust")</pre>
```

GOhelpers

Small convenience functions for dealing with GO

#### Description

getFlavorSubmap extracts a submap of a large GO map. Selects all items whose names are GO identifiers that belong to the <flavor> part of the GO hierarchy. isFlavor checks if a GO class is of requested flavor and getGOTerm extracts the term corresponding to a given GO identifier.

## Usage

```
getFlavorSubmap(map, flavor=c("CC", "MF", "BP"))
isFlavor(goclass, flavor)
getGOTerm(goclass)
```

## golub

# Arguments

map	Parent mapping, typically obtained from the GO package.
flavor	One of CC, MF or BP for cellular component, molecular function or biological process
goclass	A GO identifier (or vector thereof), eg "GO:0006412"

# Value

getFlavorSub	nap
	Returns the submap, a list where each components is a character vector contain- ing the identifers of the members in that class
isFlavor	logical
getGOTerm	Character vector with corresponding terms

# Author(s)

Henning Redestig

#### See Also

GO

# Examples

```
require("GO") || stop("GO unavailable")
# Convert a GO environment object to a list
map <- as.list(GOLOCUSID)
submap <- getFlavorSubmap(map, "BP")
isFlavor("GO:0006412", "BP")
getGOTerm("GO:0006412")</pre>
```

```
golub
```

The famous Leukemia data set

# Description

This is a shortened version of the training data set used by Golub et al taken from the golubEsets package.

# Usage

data(golub)

# Format

An exprSet object.

# Source

golubEsets

#### References

Golub, T. R. et. al. (1999) Molecular classification of cancer: class discovery and class prediction by gene expression monitoring. Science.

Lazy Model Bootstrap

Perform a simplified version of the bootstrap test for modelScores

## Description

Can be used to test how much better a score for a gene class is than one would expect if the data came from a zero mean multivariate normal distribution with Sigma equal to that of the gene class (or the by PCA summarized gene class)

# Usage

```
lazyModelBootstrap(models, scores, K=2000, null=1, alt=2,
correction="BY", verbose=TRUE, ...)
```

# Arguments

models	A batchPcaRes object
scores	A modelScores object
K	The amount of replica to consider
null	The modality of the null distribution
alt	The modalitive of the alternative hypothesis
correction	The P-value correction to use see p.adjust
verbose	Print information about progress
	Passes through arguments

## Details

This method computes a set of samples, for each cardinality of the batchPcaRes object, from a multivariate distribution under the null-hypothesis with mean zero and Sigma sampled from the set of Sigmas in the batchPcaRes object. So if the elements of batchPcaRes has either 1 or 2 PCs, 2 \* K replicates will be computed. These are then scored the same way the models were scored and a p-value is calculated as the amount of samples greater than the observed under null hypothesis divided by K.

#### Value

A list of corrected and uncorrected p-values.

## Author(s)

Henning Redestig

#### makeMap

## Examples

```
data(golubMergeSub)
myRandomMapping <- sapply(1:10, function(x) sample(1:1000, sample(5:200)))
models <- batchPca(golubMergeSub, myRandomMapping)
scores <- scoreModels(models)
pvals <- lazyModelBootstrap(models, scores, K=100)</pre>
```

```
makeMap
```

Create a gene class mapping from GO annotations

# Description

Makes a mapping given a chip name and a set of probes to use. Parents identical to any of their children are removed. getGOTerm extracts the term corresponding to a given GO identifier.

## Usage

```
makeMap(chip, probes, ontology="BP", upperLimit=300, lowerLimit=5)
```

# Arguments

chip	The used chip
probes	The set of probes that were used on that chip.
ontology	The ontology from GO that should be used
upperLimit	The largest allowed gene class
lowerLimit	The smallest allowed gene class

## Value

A list with elements corresponding to the indices in the probes vector.

## Author(s)

Henning Redestig

#### See Also

GO

```
require("GO") || stop("GO unavailable")
# Convert a GO environment object to a list
data(golubMergeSub)
map <- makeMap("hu6800", geneNames(golubMergeSub))</pre>
```

nodeGen

# Description

A method for creating a node in a decision tree based on a modelScore object. This method is normally not used directly but called from within capiu.

# Usage

```
nodeGen(scores, models=NULL, map=NULL, leaf=FALSE,
minSimilarity=0.80, eset=NULL, minClusterSize=4, alfa=0.05, verbose=interactive(
```

# Arguments

a modelScores object		
The batchPcaRes object that was used to calculate x. Needed if the node should be a leaf or if cross-validation is desired.		
The mapping from genes to gene classes that was used		
logical, should this node be a leaf		
minSimilarity		
Minimum corrected Rand index defining how similar to clusterings must be in order to get merged in to the same node		
The original data		
minClusterSize		
How small a final cluster is allowed to be		
Significance level for the bootstrap test		
Print some messages to indicate progress		
Further arguments passed on to lazyModelBootstrap		

# Value

A node object

# Author(s)

Henning Redestig

# See Also

modelScores, treeGen

# Description

Can be used for computing PCA on a numeric matrix using either the standard prcomp method or NIPALS (Nonlinear Iterative Partial Least Squares) algorithm which is an iterative approach for estimating the principal components extracting them one at a time.

## Usage

```
pca(object, k=2, scale=c("none", "pareto", "vector", "UV"), center=TRUE,
limit=1e-6, maxiterations=5000, varLimit=1,
subset=numeric(), method=c("svd", "nipals"), verbose=FALSE, ...)
```

#### Arguments

object	Numerical matrix with (or on object convertable to such) with samples in rows and variables as columns. Also takes exprSet in which case the transposed exprs slot is used.	
k	Number of components that should be extracted.	
center	Indicates if the matrix should be mean centered or not.	
limit	The limit condition for judging if the algorithm has converged or not, specifically if a new iteration is done if $(T_{old} - T)^T (T_{old} - T) > \text{limit}$ .	
maxiterations		
	Defines how many iterations can be done before the algorithm should abort (happens almost exlusively when there were some wrong in the input data).	
verbose	If TRUE a simple progress bar is displayed	
varLimit	If defined, $k$ is ignored and the algorithm continues until the explained variance is greater than this number (<1).	
scale	One of "UV" (unit variance $a = a/\sigma_a$ ) "vector" (vector normalization $b = b/  b  $ ), "pareto" or "none" to indicate which scaling should be used to scale the matrix with $a$ variables and $b$ samples.	
subset	For convenience one can pass a large matrix but only use the variable specified as subset. Can be colnames or indices.	
method	One of "svd" or "nipals". "svd" makes pca use prcomp	
	Pass through arguments	

# Details

NIPALS is capable of handling missing values (by simply leaving them out of the dot-product) provided they are well scattered in the matrix and relatively few. The NIPALS and SVD should (except for rounding errors) yield identical result but SVD is much faster and should be used as default. pca therefore does not add much to prcomp except a maybe more convenient way of representing the result.

# Value

A pcaRes object.

#### рса

# Author(s)

Henning Redestig

#### References

Wold, H. (1966) Estimation of principal components and related models by iterative least squares. In Multivariate Analysis (Ed., P.R. Krishnaiah), Academic Press, NY, 391-420.

## See Also

prcomp, princomp

# Examples

```
data(iris)
## Usually some kind of scaling is appropriate
pcIr <- pca(iris[,1:4], scale="UV", method="nipals")
pcIr <- pca(iris[,1:4], scale="UV", method="svd")
## Get a short summary on the calculated model
summary(pcIr)
## Scores and loadings plot
slplot(pcIr, sl=as.character(iris[,5]))</pre>
```

PlotPcs

Plot many side by side scores XOR loadings plots

# Description

A function that can be used to visualize many PCs plotted against each other

# Usage

```
plotPcs(object, pc=1:object@k, scoresLoadings=c(TRUE, FALSE),...)
```

#### Arguments

object	a pcaRes object
рс	which pcs to plot
scoresLoading	JS
	If scores XOR loadings should be plotted
	Further arguments to slplot

### Details

Uses par to provide side-by-side plots so it does not work with Sweave.

# Value

None, used for side effect.

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#### scoreModels

#### Author(s)

Henning Redestig

# See Also

prcomp, pca, princomp, slplot

## Examples

```
data(iris)
pcIr <- pca(iris[,1:4], k=3, scale="UV", method="svd")
plotPcs(pcIr)</pre>
```

scoreModels Compute scores for the computed models in a batchPca object

#### Description

This method computes the clusterings and scores of choice for each element (model) in a bactPca object. These scores can then be used to find the best model according to the measure of choice. In an unsupervised decision tree setting this model is used for splitting the samples.

# Usage

```
scoreModels(x, scoretype=c("mclust", "silhouette",
"weightedsilhouette"), verbose=interactive(), ...)
```

## Arguments

Х	A batchPca object
scoretype	Which score to use; implemented scores are defined as such:
	<b>mclust</b> Fit uni-modal and bi-modal distributions to a uni-variate distribution using the mclust package and compute score by log-likelihood between the two obtained models
	<b>silhouette</b> PAM is used to cluster data and average Silhouette Index of all clusters is the total score.
	<b>weightedsilhouette</b> Same as silhouette but multiplied by the relative. entropy of the cluster sizes to give small preference for equal sized clusters.
verbose	If TRUE a message is printed a start and end of calulation
	Only used for passing through arguments

# Details

The clusterings are done with pam from the package **cluster** except when **modelSelect** is used in which case the EM-algorithm EMclust from the mclust package is used.

# Value

A modelScores object

slplot

## Author(s)

Henning Redestig

# See Also

silhouette, pam, batchPca, pca

# Examples

```
data(golubMergeSub)
myRandomMapping <- sapply(1:10, function(x) sample(1:1000, sample(5:200)))
models <- batchPca(golubMergeSub, myRandomMapping)
scores <- scoreModels(models)</pre>
```

slplot

Plot a side by side scores and loadings plot

## Description

A common way of representing PCA result for two component

# Usage

```
slplot(object, pcs=c(1,2), scoresLoadings=c(TRUE, TRUE),
sl="def", ll="def", hotelling=0.95, rug=TRUE,...)
```

# Arguments

object	a pcaRes object	
pcs	which two pcs to plot	
scoresLoadings		
	Which should be shown scores and or loadings	
sl	labels to plot in the scores plot	
11	labels to plot in the loadings plot	
hotelling	confidence interval for ellipse	
rug	logical, rug x axis or not	
	Further arguments to plot functions	

# Details

Uses layout instead of par to provide side-by-side so it works with Sweave.

#### Value

None, used for side effect.

# Author(s)

Henning Redestig

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## toDot

#### See Also

prcomp, pca, princomp

# Examples

```
data(iris)
pcIr <- pca(iris[,1:4], scale="UV", method="svd")
slplot(pcIr, sl=NULL, pch=5, col=as.integer(iris[,5]))</pre>
```

```
toDot
```

Write dot scripts for an unsupervised decision tree.

# Description

A convenience function for generating dot scripts for easy visualization of unsupervised decision trees.

# Usage

```
toDot(x, filename, graphname="G", goTerm=TRUE, goId=TRUE,
compile=TRUE, useLabels=TRUE)
```

# Arguments

Х	The tree to use.	
filename	The filename of the script (should end with ".dot")	
graphname	What to call this graph in the script, usually irrelevant.	
goTerm	Indicates if the name of each class should be added in each node, e.g. 'protein biosynthesis' if the map used was a mapping to GO.	
goId	Indicates if the identifier of each class should added in each node, e.g. 'GO:0006412' if the map used was a mapping to GO.	
compile	Logical, indicates if dot shall be called using system to compile the generated script to a PNG file.	
useLabels	Logical, indicates if the labels in the udt object should be used for the leaves or not.	

# Value

None, used for the side effect.

# Author(s)

Henning Redestig

#### References

Gansner, E., Koutsofios E. and North, S. (2002) Drawing graphs with dot. User's manual http://www.graphviz.org/Documentation/dotguide.pdf.

Utilities for CAPIU

A simple progess bar

# Description

A progress bar to typically be used in for-loop control statements

# Usage

progBar(i, to, start=1, dots=50)

# Arguments

i	Present iteration
to	Maximum of this loop
start	Where loop started
dots	Desired length of progress bar (only approximate)

# Value

None, used for its side effect.

# Author(s)

Henning Redestig

```
for(i in 1:1000) {
    progBar(i, 1000)
}
```

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